

**Private Individual from an FCA Regulated Firm**

Please use BLOCK CAPITALS.

**1 Details of individual (see explanatory notes, section 4)**

Full name of customer	<input style="width: 100%;" type="text"/>
Address	<input style="width: 100%; height: 60px;" type="text"/>
Postcode	<input style="width: 60%;" type="text"/>
Previous address if customer has changed address in the last three months	<input style="width: 100%; height: 60px;" type="text"/>
Postcode	<input style="width: 60%;" type="text"/>
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>

**2 Confirmation**

I/we confirm that

- (a) the information in section 1 above was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer: [tick only one]

Meets the guidance for standard evidence set out within the guidance for the UK financial sector issued by JMLSG;

or

Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).

Signed	<input style="width: 100%; height: 60px;" type="text"/>
Name	<input style="width: 100%;" type="text"/>
Position	<input style="width: 100%;" type="text"/>
Date	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>

### 3 Details of introducing firm (or sole trader)

Full name of regulated firm  
(or sole trader)

FCA reference number

### 4 Explanatory notes

1. A separate confirmation must be completed for each customer (for example joint holders, trustee cases and joint life cases). Where a third party is involved, for example a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those whose identity has not been verified by virtue of the application of a permitted exemption under the money laundering regulations; or
  - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.